# Design and Control of An Integrated 3-Level Boost Converter under DCM Operation

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Abstract—A 3-level boost (3LB) converter has the characteristics of higher voltage conversion efficiency, lower inductor current ripples, output voltage ripples and voltage stresses on switches when compared with the conventional boost converters in continuous conduction mode (CCM). When the 3LB is integrated on a chip, we cannot avoid its discontinuous conduction mode (DCM) operation due to a small inductance and load variation. In this paper we'll present and discuss the design and control of a 3LB converter in DCM operation, implemented in a 65-nm CMOS process. Transistor level simulation results show that it operates at 100 MHz with a 5nH inductor, a 4nF output capacitor and a 2.5nF fly capacitor achieving an output conversion range of 1.5V to 2.1V from a 1.2 V input supply, with a peak efficiency of 83.2%@90mW, a load transient response of 141mV and 1.64ns/mA for undershoot, 136mV and 1.93ns/mA for overshoot, and a voltage ripple less than 60/85mV when ILoad=40/80mA with a typical and the maximum output voltage ripple of less than 90mV in the worst corner.

# Keywords—3-level boost converter; DCM; fast transient response; low ripple; voltage mode controller

#### I. INTRODUCTION

Many portable products as MPEG-3 players, personal digital assistants, wearable devices, portable medical diagnostic equipment, and internet-of-things (IoT), call for voltage boosting DC-DC converters. For such applications, the output voltage ripple, conversion ratio, and noise must be considered. For the conventional boost converters, their output currents pulsate, thus leading to large output voltage ripples. A 3-level boost (3LB) converter for continuous conduction mode (CCM) operation has been proposed in [1-3] to reduce inductor current ripples, output voltage ripples and voltage stresses on switches, thus yielding a higher conversion efficiency than the boost converter. Both 3LB and boost converters have the same CCM voltage gain and small signal transfer function for the closedloop controller design. When a 3LB converter is designed in an integrated circuit, due to a smaller inductance and load variation, its discontinuous conduction mode (DCM) operation, cannot be avoided for longer battery life [4]. The DCM operation analysis of the 3LB converter, which includes CCM/DCM operation boundary, DCM voltage gain, and DCM small signal transfer function has been studied and proposed in a previous work [4].

Among the existing literatures, the design and control of the DCM closed-loop controller of a 3LB converter will be initially proposed and discussed in this paper, including the required gate voltages for driving the power MOSFETs of the 3LB converter,

in which the proposed closed-loop controller and gate voltage signals are the main contributions of this paper. The 3LB converter is finally designed based on a 65-nm CMOS process. And its initial start-up control is not included in this paper.



Fig. 2. Gate voltages for M1-4 when 0<D<0.5 and 0.5<D<1

0.5T

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Fig. 1 shows the circuit configuration of the 3LB converter under DCM, in which V<sub>IN</sub>, V<sub>OUT</sub> and V<sub>REF</sub> are the DC input, output and reference voltages;  $C_f$  and  $V_{Cf}$  are the flying capacitor and its voltage ( $V_{Cf} = 0.5 V_{OUT}$ ); L and C are the inductor and capacitor;  $R_{load}$  is the load resistor;  $I_{IN}$ ,  $I_{Load}$ ,  $I_{cf}$ ,  $I_{L}$  and  $I_{C}$  are the input, load, flying capacitor, inductor and capacitor currents; S1-5 are the different operation states; M<sub>1-4</sub> are the power transistor switches. A compensator is used to obtain a stable and fast control loop. A pulse-width modulator (PWM) generates the control signal  $V_{COM}$ , then this control signal is sent to the level shifter, logic gates, delay, non-overlap and driver circuits to generate the final trigger signals  $V_{MI-4}$  for the M<sub>1-4</sub> in Fig. 2 and we use the DCM control to trigger S<sub>5</sub>. Fig. 3 shows the 3LB converter inductor voltage  $V_L$  and current  $I_L$  waveforms for DCM when  $0 \le D \le 0.5$  and  $0.5 \le D \le 1$ , where D is the duty ratio. For CCM, S5 does not exist.

т time

0.5T

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Fig. 3. 3LB converter  $V_L$  and  $I_L$  waveforms for DCM: (a) when 0 < D < 0.5, and (b) when 0.5 < D < 1

Based on the deduced DCM model in [4] and with the help of [5], a 100MHz operation frequency, a 1.2V voltage supply  $(V_{IN} \& V_{DD})$  3LB converter will be designed for providing 1.5-2.1V (target 1.8V) output voltage  $(V_{OUT})$ , and 5-80mA load current  $(I_{Load})$ . In Section II, the design of the voltage mode controller with a compensator circuit for the 3LB converter will be presented, which consists of a ramp generator, an error amplifier (EA), a comparator and a DCM control. In Section III, transistor level simulation results will be presented, and the comparison with the state-of-the-art inductive based boost converter will also be discussed. Finally, we draw the conclusions in Section IV.

# II. DESIGN OF DCM CLOSED-LOOP VOLTAGE MODE CONTROLLER FOR 3-LEVEL BOOST CONVERTER

# A. Voltage Mode Controller

A voltage mode controller, which adjusts the duty cycle in response to the output voltage changes, has the advantages of design simplicity with only one loop, low power consumption, and good noise margin for a stable modulation process [5]. Fig. 4 shows the DCM voltage mode controller for a 3LB converter.



Fig. 4. DCM closed-loop voltage mode controller for a 3LB converter

The output feedback voltage  $V_f$  (1/3 $V_{OUT}$ ) from the scalar (R<sub>A</sub>=2R<sub>B</sub>=20kohms) will be firstly compared with the reference voltage  $V_{REF}$  through a compensator. Then the error amplifier (EA) output voltage V<sub>EA</sub> will compare with a fixed frequency

ramp voltage  $V_{ramp}$ , then the output control signal  $V_{COM}$  from the comparator will be sent to the level shifter, logic gates, delay, non-overlap and driver circuits to generate the control signals for the power transistor switches (M<sub>1-4</sub>) in the 3LB converter. The DCM control will be triggered when the reverse current is detected by comparing the output voltage  $V_{OUT}$  with  $V_x$ . When the DCM control is activated, this will adjust the control signals  $V_{M1-4}$  for M<sub>1-4</sub>. Finally, the function of the closed-loop voltage mode controller ensures a stable  $V_{OUT}=3V_{ref}$ .

# B. Type III Compensator Design

The 3LB converter exhibits a right hand plane zero similar to the conventional boost converter, thus it is important to improve the stability of the 3LB converter by just using a compensator. A Type III compensator can theoretically boost the phase up to  $+180^{\circ}$  at the crossover frequency, and therefore it can provide the required phase boost to maintain the overall 3LB converter at a reasonable phase margin (PM) in CCM. On the other hand, with appropriate design of the system parameters, Type II compensator already works fine for the 3LB converter under DCM operation. However, if both CCM and DCM operational modes are considered for the 3LB converter, Type III compensator should be applied to guarantee the system stability. Fig. 4 shows the circuit diagram of the Type III compensator, which comprises an error amplifier, 3 resistors and 3 capacitors [5]. After apprehending the structure of the Type III compensator network (Fig. 4) it can be mentioned that the DCM gain and gain margin (GM), as well as the PM at the crossover frequency (20MHz, 1/5 of operation frequency as usual) [4], a Type III compensator with  $R_1/R_2/R_3=25/35/2.5k\Omega$  and  $C_1/C_2/C_3=425/160/555$  fF, already ensures a sufficient PM of 60°, as Fig. 5 shows, to obtain a stable controlled output voltage  $V_{OUT}$ .



Fig. 5. A 3LB converter with Type III compensator: (a) magnitude plot and (b) phase plot at different frequencies

# C. Single Boundary Ramp Generator

When the switching frequency is high (e.g.  $\geq 100$ MHz), the delay in the comparator will introduce error in the ramp signal magnitude and frequency of the conventional double-boundary ramp generator. Thus, to relax this delay problem we utilized in the paper a single boundary ramp generator, proposed before in [6] (Fig. 6). With V<sub>H</sub>=0.4V, after being controlled by the level shifter, a 100MHz (10ns period) and 0.4-0.8V ( $1/2V_{DD}\pm0.2V$ ) voltage boundary range of the ramp signal  $V_{ramp}$  has been built, with a power consumption of 0.182mW.



Fig. 6. Single boundary ramp generator

## D. Error Amplifier (EA)

A cascade error amplifier (EA) architecture, as shown in Fig. 7, has been chosen for the high gain and speed, low power consumption and low noise EA design. In the parameter analysis, the output voltage of the EA ( $V_{EA}$ ) cannot reach the  $V_{ramp}$  boundary range until the gain >27dB and GBW >46MHz. After tuning, we got a 0.212mW power consumption with 42.3dB gain and 82.9MHz GBW EA performance, which fits well in the 40 times Monte Carlo simulation.



# Fig. 7. Error amplifier

# E. Comparator

In this design, we need a comparator for the PWM, ramp generator circuit and DCM control. Then, Fig. 8 shows a twostage comparator circuit topology implemented by a sourcecoupled differential pair with positive feedback to provide a high gain [7] for the PWM and ramp generator parts. In the parameter analysis, the offset of the comparator does not affect the output side much due to the feedback network, and the power consumption of the comparator is 0.198mW.



# Fig. 8. Two stage comparator

# F. DCM Control (ZCD)

The DCM control (ZCD) circuit first compares the signals  $V_{OUT}$  with  $V_x$ , when  $V_{OUT} > V_x$ , meaning that a reverse current happens, and the operation state S<sub>5</sub> is triggered to turn off all the power transistor switches. The DCM control circuit of Fig. 9 has been designed to withstand a high voltage and aims to reduce the

reverse current during DCM by the offset designed on  $M_{DP1\&2}$ , also shown in Fig. 9, to maximize the efficiency of the 3LB converter [8]. Fig. 10 shows that the DCM control can reduce the reverse current problem and it exhibits a power consumption of 0.342mW. From Fig. 10, the residual reverse current is due to the delay of the control path, which is mainly contributed by the comparator and drivers. To overcome the delay problem, the idea of the DCM calibration control loop proposed in [6] can be further investigated for the 3LB converter in future work.



Fig. 9. DCM control



Fig. 10. Inductor current comparison without/with DCM control

#### III. SIMULATION RESULTS

The 3LB converter is built at transistor level with 65nm CMOS parameters, from a set of designed elements:  $V_{IN}=V_{DD}=1.2$ V, L=5nH with 50m $\Omega$ ,  $C_f=2.5$ nF with 75m $\Omega$ , C=4nF with 120 m $\Omega$ ,  $R_{Load}=22.5\Omega-360\Omega$ . After constructing the 3LB converter in Cadence, the typical, corner and Monte Carlo simulation results of  $V_{OUT}$  and  $I_{Load}$ , power efficiency, output voltage ripple  $V_{OUT-Ripple}$ , load transient response will be provided next.

#### A. Typical (TT) Simulation Results

Fig. 11(a) shows the TT simulation results of  $V_{OUT}$  swings from 1.5V to 2.1V when  $I_{Load}$ =80mA, while Fig. 11(b) shows the TT simulation results of  $I_{Load}$  swings from 5mA to 80mA when  $V_{OUT}$ =1.8V. When the 3LB converter is operating in DCM with  $I_{Load}$ =5-80mA and  $V_{OUT}$ =1.8V, the active closed-loop controller consumes a constant power of 0.9mW.



Fig. 11. (a)  $V_{OUT}$  range when  $I_{Load}$ =80mA, (b)  $I_{Load}$  range when  $V_{OUT}$ =1.8V

# B. Corner Simulation Results

To ensure the performances of the 3LB converter even under process variation, different corner situations are also simulated and presented here besides the typical case.



Fig. 12. 3LB converter efficiency and V<sub>OUT-Ripple</sub> verus I<sub>Load</sub> (I<sub>Load</sub>=5-80mA) when V<sub>OUT</sub>=1.8V: (a) Efficiency and (b) V<sub>OUT-Ripple</sub> under different corners

Fig. 12 shows the trend of the conversion efficiency and  $V_{OUT-Ripple}$  of the 3LB converter when  $I_{Load}$  is increasing from 5mA to 80mA under different corners. The maximum power efficiency reaches 83.2% when  $I_{Load}$ =50mA in the typical case, and the  $V_{OUT-Ripple}$  at the maximum power efficiency point is smaller than 65mV. With the  $I_{Load}$  changing from 80mA to 5mA, and then back to 80mA again, the load transient response waveforms of the 3LB converter at different corners are shown in Fig.13, with the worst corner results of 148/152mV for under/overshoot voltages and with 205/184ns of settling time.



Fig. 13. Tranisent response time when  $I_{Load}$  changes from 80mA to 5mA, then back to 80mA with  $V_{OUT}{=}1.8V$ 

# C. Monte Carlo Simulation Results

Fig. 14 shows the Monte Carlo simulation results of  $V_{out}$ , Efficiency and  $V_{OUT-Ripple}$ . From 40 times Monte Carlo simulation results (Fig. 14) with mismatch considerations,  $V_{OUT}$ 

fluctuates from 1.77V to 1.84V, with an average value of  $V_{OUT}$ =1.81V, which means that the influence of EA and comparator offset are acceptable. And the Efficiency fluctuates from 77% to 85%, with an average value of 81.6%. On the other hand, the  $V_{OUT-Ripple}$  fluctuates from 60mV to 100mV, with an average value of 76mV.



# D. Comparison with the-State-of-the-Art Boost Converters

When compared with similar switching frequency converters from [9] and [12], the 3LB converter can obtain higher peak efficiency and efficiency @10mA and better load transient performances. If we consider a comparison with the works from [10] and [11], the 3LB converter requires much smaller passive components (L and C) and obtains similar peak efficiency and load transient performances. Finally, if compared with all converters from [9] to [12], the 3LB converter can obtain the fastest settling time per load transient.

TABLE I.	COMPARISON WITH THE-STATE-OF-THE-ART BOOST
	CONVERTERS [9] – [12]

	D. Bhatia	Z. Sun	YS. Hwang	S. Dam	This work		
	JSSC	TCAS-II	IEEE Sens. J.	TPEL	(TT)		
	2013 [9]	2014 [10]	2016 [11]	2018 [12]	(11)		
Topology	Inductive	Inductive	Inductive	Inductive	3-Level		
ropology	Boost	Boost	Boost	Boost+LC	Boost		
Process /nm	130	65	180	180	65		
Frequency /MHz	100	1	1-2	118	100		
Inductor (L) /nH	22*4	22000	3300	20	5		
Capacitor (C) /nF [(Cf)/nF]	20	5000	10000	1.08	4 [2.5]		
Input voltage (VIN) /V	1.2-2	0.42-0.6	0.8-1.2	1-2.7	1.2		
Output voltage (VOUT) /V	3-5	0.6-0.9	1.8	3.2	1.5-2.1		
Load current (ILoad) /mA	10-84	10-110	5-100	6-65	5-80		
Load transient step ( $\Delta I_{Load}$ ) /mA	40	30-60	10-100	6-40	5-80		
Peak Efficiency /% (@mW)	64@180	86@99	90@36	77.4@103	83.2@90		
Efficiency@10mA /%	48	55	82	63	63.2		
Vour under/overshoot (mV)	170/220	50/-	50/65	192/130	141/136		
Settling time under/over (ns)	800/1070	100000	6000/4000	650/650	123/145		
Settling time per ΔI <sub>Load</sub> under/over (ns/mA)	20/26.8	3333.3/-	66.7/44.4	19.1/19.1	1.64/1.93		
Max. V <sub>OUT-Ripple</sub> /mV	200	>100	45	21	<85		

# IV. CONCLUSIONS

A 1.2V voltage supply 100MHz integrated voltage mode controlled 3LB converter has been designed in 65 nm CMOS. It can achieve 1.5-2.1V output voltage and 5-80mA load current. Simulation results show that the 3LB achieves a peak efficiency of 83.2%@90mW, load transient response of 141mV and 1.64ns/mA for undershoot, 136mV and 1.93ns/mA for overshoot, and voltage ripple less than 60/85mV when  $I_{Load}$ =40/80mA in a typical case with a maximum output voltage ripple of less than 90mV in the worst corner, similar to the state-of-the-art.

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